

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))**

Docket No.
08780001AA

In Re Application Of: **Setsuji Tatsumi**

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/712, 056	11/14/2003	Ly T. Tran	30743	2853	9030

Title: **SURFACE TREATMENT APPARATUS AND IMAGE RECORDING APPARATUS**

Address to:
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☒ the statement specified in 37 CFR 1.97(e);

OR

☐ the fee set forth in 37 CFR 1.17(p).

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10/712, 056	11/14/2003	Ly T. Tran	30743	2853	9030

Title: **SURFACE TREATMENT APPARATUS AND IMAGE RECORDING APPARATUS**

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. 50-2041 as described below.
- ☐ Charge the amount of _____
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.
- ☐ Payment by credit card. Form PTO-2038 is attached.

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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Dated: **February 19, 2007**


Olga V. Merkoulouva
Whitham, Curtis, Christofferson & Cook, P.C.
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CC:

**STATEMENT UNDER 37 CFR 1.97(e) ACCOMPANYING
INFORMATION DISCLOSURE STATEMENT**

Docket No.
08780001AA

In Re Application Of: **Setsuji Tatsumi**

Application No. 10/712,056	Filing Date 11/14/2003	Examiner Ly T. Tran	Customer No. 30743	Group Art Unit 2853	Confirmation No. 9030
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Invention: **SURFACE TREATMENT APPARATUS AND IMAGE RECORDING APPARATUS**

COMMISSIONER FOR PATENTS:

This is a statement under the provisions of 37 CFR 1.97(e) in the above-identified application.

Check applicable statement herebelow:

Statement Under 37 CFR 1.97(e)(1)

- ☒ Each item of information contained in the accompanying Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.

Statement Under 37 CFR 1.97(e)(2)

- ☐ No item of information contained in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned person, after making reasonable inquiry, no item of information contained in the accompanying Information Disclosure Statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.


Signature

Dated: **February 19, 2007**

Olga V. Merkoulou
Reg. No. 48,757
Whitham, Curtis, Christofferson & Cook, P.C.
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Typed or Printed Name of Person Mailing Correspondence

CC:

Docket 08780001AA
Serial No.: 10/712,056

1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of

Setsuji Tatsumi

Confirmation No.:9030

Serial No. 11/610,152

Group Art Unit: 2834

Filed: November 14, 2003

Examiner: L. Tran

For: SURFACE TREATMENT APPARATUS AND IMAGE RECORDING
APPARATUS

Commissioner for Patents

PO Box 1450

Alexandria, Virginia 22313-1450

INFORMATION DISCLOSURE STATEMENT

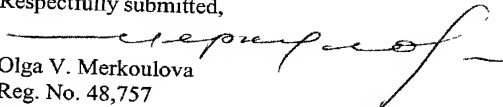
Sir:

Under the provisions of 37 C.F.R. §1.97 through §1.98 and pursuant to applicant's duty of disclosure under 37 C.F.R. §1.56, applicant respectfully brings the following documents, cited in the Office Action issued by the Japanese Patent Office on November 21, 2006, for the above-identified patent application and listed on the attached forms PTO-1449, to the attention of the Examiner in charge of the above-identified application.

This citation does not constitute an admission that the references are relevant or material to the claims. They are only cited as constituting related art of which the applicant is aware.

It is respectfully requested that the listed references be formally made of record in the application. Please charge any deficiencies in fees and credit any overpayment of fees to the Attorney's Deposit Account No. 50-2041 (Whitham, Curtis, Christofferson & Cook, P.C.).

Respectfully submitted,


Olga V. Merkoulou
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INFORMATION DISCLOSURE CITATION
(Use several sheets if necessary)

Docket Number (Optional)

08780001AA

Application Number

10/712,056

Applicant(s)

Settsuji Tatsumi

Filing Date

11/14/2003

Group Art Unit

2853

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

U.S. PATENT APPLICATION PUBLICATIONS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO
		JP 03-248156	06.11.1991	Japan	G03D	02	<input checked="" type="checkbox"/>	
		JP 01-161345	26.06.1989	Japan	G03D	02	<input checked="" type="checkbox"/>	

OTHER DOCUMENTS

(Including Author, Title, Date, Pertinent Pages, Etc.)

		An Office Action issued by the Japanese Patent Office on November 21, 2006 with English language translation, pages 1-4

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.